



**ADDITIONAL INSTRUCTIONS FOR TRANSFERRING AN ACCOUNT
FROM ANOTHER BROKERAGE COMPANY TO FCSTONE, LLC**

1. If you presently have an account with another brokerage company and wish to transfer the positions and equity from that account to your FCStone, LLC account, complete and sign the **Authorization to Transfer Account** document.
2. Return the completed Authorization to Transfer Account document with the rest of the Customer Account Documents: to

FCStone, LLC
Client Service Center
1251 NW Briarcliff Parkway
Suite 800
Kansas City, MO 64116



AUTHORIZATION TO TRANSFER ACCOUNT

To:

Date: _____

RE: Account Number(s): _____

Account Name: _____

Gentlemen:

Please be advised that I wish to transfer all open positions, equity and securities on deposit held by your company, in the commodity account number(s) _____ maintained by your firm to FCStone, LLC, effective at the close of trading on _____.

Accordingly, you are hereby directed to transfer open positions, securities on deposit in the above named account(s) and issue a check or wire transfer representing the net available cash in such account(s), payable to FCStone, LLC.

All questions regarding the transfer of positions, securities on deposit or funds from your books and records to FCStone, LLC should be directed to Susan Pringle, 312-780-6716.

Very truly yours,

Customer Signature Date

Customer Signature Date

Print customer name

Print customer name

NFA RULE 2-27. TRANSFER OF CUSTOMER ACCOUNTS.

(a) Upon receipt of a signed instruction from a customer to transfer an account from one Member to another, and provided that such instruction contains the customer's name, address and account number (and, if the transfer is not of the entire account, a description of which portions are to be transferred) and the name and address of the receiving Member, the carrying Member shall confirm to the receiving Member all balances in the account, whether money, securities or other property, and all open positions, **within two business days** or within such further time as may be necessary in the exercise of due diligence. **Within three business days** of the day such confirmation is due, or within such further time as may be necessary in the exercise of due diligence, and provided that the receiving Member agrees to accept the account, the carrying Member shall effect the transfer of the balances and positions to the receiving Member.

(b) This rule shall apply only to transfers made at the request of a customer.

(c) This rule shall not prohibit transfers based upon oral requests.